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2. Introduction

The HP Indigo EPM Preflight Solution is based on Enfocus Switch and PitStop Server. It automates the filtering of files based on whether they are suited for EPM or not.

The filtering of the files is based on eight checks that are performed in this order:

1. A check if the job has any color pages.
2. A check for the presence of acceptable or unacceptable spot colors.
3. A check for small black items that may be difficult to print in EPM [small black text and thin black lines].
4. A check for a high amount of black content pages.
5. A check for the use of rich black.
6. A check for a high ink coverage.
7. A check of the deltaE against the EPM color space.
8. A check of the deltaC against the EPM color space.

When the file fails a test it is immediately rejected for EPM. It is only accepted when it has passed all tests.
3. Differences with previous versions

The original HP EPM Preflight solution was based on a set of configurators. As of v2, it is based on a single app. In other words, it is a complete overhaul. It works completely differently, which means that if you want to integrate the app in your production you will have to redesign your flows. Do not worry too much about this, however, as the app is a lot easier to use than the original implementation.

The most obvious change is that it is now a single app instead of a set of configurators to accomplish the task of what was a complete and complex flow in v1. That makes the redesign of the flows also an easy task. The two versions can be used next to each other so the transition can be done at your own pace.

It is now possible to use a page selection. Especially for large jobs it may not be really necessary to check all the pages, but only a certain page range or a random selection of pages. This makes the processing of course a lot faster.

The checks are more granular: instead of 5 checks, there are now 8. Seven of the checks are user definable. The first one, the check if the job contains color and is not a complete black and white job, is always done and is therefore not represented in the properties of the app.

The configuration of the properties is much easier. In v1 changing the properties of some of the checks required modifying Action Lists inside Preflight Profiles. Now all properties are defined in the app itself.

Internally some of the checks work differently. Exploiting some of the new features of PitStop Server in combination with the more granular approach means that a job is processed more efficiently giving a faster throughput. How much faster the app is than v1 depends heavily on the job and the combination of the many properties.

V1 had a Configurator to create HTML and/or CSV logfiles. This can still be used in combination with the app, but it is not included with the app anymore. In the meantime there are apps that cover this functionality and the Reporting Module introduced with Switch 2018 update 2 gives much more possibilities for presenting results.

V3 of the app has a fourth output type to handle files that generate non-critical PitStop Server errors in a clean way. We also fixed the report on Windows (now it is the intended low-resolution report).
4. Solution deliverables

To install the HP Indigo EPM Preflight Solution the following components are needed:

• The Switch 2018 installer (or later).
• The PitStop Server 2018 installer (or later).
• The HP Indigo EPM kit containing all the necessary resources to install the HP Indigo EPM Preflight Solution on top of Switch and PitStop Server.
• The HP EPM Preflight app.
5. Installation

5.1. Switch and PitStop Server

The Switch and PitStop Server products should be installed together on a separate computer, so not on the HP Indigo DFE. That computer can run Windows or OS X. For the latest hardware requirements we refer to the Enfocus website: http://www.enfocus.com/en/products/switch/system-requirements.

The installation and activation process is the regular one for those products, and is therefore not explained any further here. If necessary, please consult the documentation of the respective products.

5.2. The HP Indigo EPM kit

The HP Indigo EPM kit is a ZIP file containing the necessary resources. Unzip it in a location of your choice on the Switch Server.

This will generate the following folders and the following content:

- **Device Link Creator**: this folder contains the HP Indigo EPM Device Link Creator application. This application creates the device links that are used in the deltaE and deltaC preflight checks.

- **Device links**: this folder contains 4 default device links, 2 for the deltaE check, 2 for the deltaC check, with one being for RGB and one for CMYK for both cases.

- **Switch flows**: this folder contains a couple of sample flows that illustrate how the HP EPM Preflight app can be used in a flow.

- **Test files**: the test files in this folder are artificial. They can be used to illustrate the behavior of certain settings, but real testing should be done with a representative set of production files.

5.3. Installing the HP Indigo Device Link Creator application

The HP Indigo EPM Device Link Creator application is a ready-to-run executable (with a few supporting files) that does not require a separate installer. The Device Link Creator uses ICC profiles that are stored on the HP Indigo DFE, so it makes sense to install it there. Simply copy the folder to a suitable location on the HP Indigo DFE. The resulting device link profiles have to be used on the computer running Switch.
5.4. Using the device links

The device links are for use in the deltaE and deltaC checks. Simply browse to those files from the respective properties. There is no need to use the default files when you are creating your own device links, of course.

5.5. Importing the Switch flows

Import the Switch flows using the Import flow function. This is not mandatory. These flows are examples only. Click on the Document flow button to see more information.
6. Configuration

6.1. Overview of the properties of the app

6.1.1. Page selection

It is not always necessary to check all pages of a file to determine its suitability for EPM. Variable data printing jobs, for example, will usually consist of a repeat of sections, so instead of checking all pages it may suffice to check just the size of the section.

Example: a 1000-page file is made up of 250 individualized brochures; checking just pages 1 to 4 will make the check considerably faster.

A page range is defined as it is in PitStop:
- 1-4
- 1-4,9-12
- 3-R3 (from page 3 to the third-last page)

For large jobs it may suffice to check only a sample of the pages. There is a property to define what percentage of pages should be checked. The app will choose that percentage of pages at random from the input file. Note that this setting is not compatible with the check of the amount of black pages. The exact selection of pages is added to private data for reference (see further).

6.1.2. Perform spot color check

This check verifies if the job contains any spot colors that are not desired when printing in EPM mode. There are three modes:
- White list
- White list plus safe colors
- Black list
Choosing “White list” means you start from the premise that no spot colors are acceptable unless you specifically define them as being acceptable. This is the cautious approach.

Spot colors in PDFs have a so-called alternate color space. This is often defined as the CMYK values for rendering that specific spot color in CMYK. When the alternate color space defines the black component as 0% it means the spot color can be rendered using only CMY and is therefore by definition safe for use in EPM.

**Note:** When the alternate color space is RGB, Gray or Lab is ignored. You can allow the safe colors next to any other white-listed spot colors by choosing the option “White list plus safe colors”. This is also the default.

The more optimistic approach is to choose “Black list”. In that case all spot colors are allowed except the ones that you specify as being problematic, perhaps as the result of a previous print run that did not give satisfactory results.

The “List of spot colors” is the list of acceptable or unacceptable spot colors based on the previous setting. You can define any number of spot colors in a list and you can use regular expressions. Think of regular expressions as wildcards on steroids. In the example in the screenshot the first line will match all Pantone spot colors that have 4 digits in the name, and the second line all the Pantone spot colors that have “Cool” in the name. This is not the place for a tutorial on regular expressions. You will probably already get quite far if you know that the * wildcard is written as .* in a regular expression, and that the ? wildcard is represented by . (dot). The Switch help contains a chapter on regular expressions where you can find more information on this topic.

In the property “List of technical colors to be ignored” you can specify a list of spot colors that are not printed and that therefore should be ignored (cut lines, crease lines, …). The principle of defining the names is exactly the same as for defining the list of spot colors. The objects in those colors will be ignored in all checks, but they are not removed from the file. The spot color “All” is always ignored and does not have to be specified.

When the job fails this check the report will be a logfile listing the spot colors that do not follow the rules.

### 6.1.3. Perform small black objects check

<table>
<thead>
<tr>
<th>Perform small black objects check</th>
</tr>
</thead>
<tbody>
<tr>
<td>Smallest black line thickness (default mm)</td>
</tr>
<tr>
<td>Smallest black text (pt)</td>
</tr>
</tbody>
</table>

This check verifies there are no thin black lines or small black texts inside the trim box. When specifying a value for the lines, the default is in millimeters, but you can also add “pt” (points) or “in” (inches) after the value. The value for the texts is obviously in point size.

The check ignores lines that look like trim lines, i.e. lines that are thinner than 0.1mm with a length between 3mm and 7mm. When checking imposed files it is common that there are such thin lines inside the trim box of the imposed result. As the quality with which these lines are printed is of no importance they can safely be ignored. This is to avoid all imposed files ending up being rejected on this basis.
Should you wish to check only for small texts and not care about thin lines, then simply set the value for the thin lines to 0 mm.

When the job fails this check the report will be a PitStop Server low-resolution annotated report.

6.1.4. Perform black pages check

Before explaining what the properties mean, first a word on the different ways black is handled on the HP Indigo DFE, both on color pages and on black and white pages.

When printing in CMYK mode, black is of course always printed as black, both on color pages and on black and white pages. Black and white pages are automatically recognized as such and only 1 separation will be output, in other words, only one click will be counted for a black and white page.

When printing in EPM mode, black is always printed as CMY, regardless of whether it is a color page or a black and white page. In other words, all pages generate 3 clicks.

When printing in EPM+ mode, black is printed as CMY on a colored page, and as black on a black and white page ON THE CONDITION that all the objects on a black page are in the DeviceGray color space. If that condition is not met the black and white page will be printed in CMY. The problem here is that black objects in PDF are often defined in the DeviceCMYK color space with 0 values for CMY and these objects are not recognized as being black only. The same is true for RGB objects with equal RGB values.

Let us assume a 100-page job with 70% color pages and 30% black and white pages. In CMYK mode this will generate (70*4)+(30*1) clicks: 310. In EPM mode that will be 100*3: 300. In EPM + mode the result is (70*3)+(30*1): 240. When you think in number of clicks EPM+ is always the most economical choice.

Note that the above example takes a 100-page job as it is easy to illustrate the principle. However, you must not apply this logic to a non-imposed job, but to an imposed job! A multipage job with a 50/50 split between color pages and black and white pages could turn out to be a 100% color job after imposition.

The first property of the black check allows defining that the file fails for EPM if the percentage of black and white pages is above a certain value, or if there are more black and white pages than a fixed value. The value is the second property.

If you want to print EPM+ then having a lot of black and white pages is not a problem, but then you want to make sure that the black and white pages only contain DeviceGray. Set the fail mode to "percentage of pages" and the fail value to 100. As there can be never be more than 100% of black and white pages, this check will never fail. And force the conversion of black and white pages to DeviceGray [see below].
If you think that having lots of black and white pages is a reason for printing CMYK, then use a low fail value.

The third property “Ignore small color areas” is useful when a predominantly black and white page with a little bit of color should be counted as a black page instead of a color page in the black pages check as described in the previous paragraphs. Think of, for example, a logo in the header, a colored page number in the footer, etc. The value is the percentage of the surface coverage of the black. The reason for using this property is to make sure that the black text in jobs with predominantly black text gets printed in black and not in CMY. In other words, this property should only be used when there is a quality concern over lots of black text being printed in CMY.

Setting the “Convert black pages to DeviceGray” property to “Yes” is highly recommended when you are using EPM+ as otherwise you are not sure that all black and white pages contain only DeviceGray objects and as explained above this a requirement to ensure the use of black only for black and white pages.

**Warning:** The check for the amount of black pages is only reliable when ALL pages are being checked. Therefore setting this property to “Yes” is only valid when the page selection property is set to “All pages”.

When the job fails this check the report will be a logfile containing an overview of the color types of the pages in the job.

### 6.1.5. Perform rich black pages check

Rich black is black combined with a certain percentage of cyan, sometimes also magenta and or yellow to give the black an extra dark appearance. It is obvious that this effect cannot be realized by printing in CMY only. This check allows to detect if such a rich black is used in a large enough amount so it can be decided to print the job in CMYK.

Not everybody uses the same recipe for rich black so there are 6 properties for setting the minimum and maximum values for the cyan, magenta and yellow components of the rich black.

The percentage value of the property “Fail EPM if the rich black coverage is above” is that of the page surface. In this way it can be avoided that a small piece of rich black that may have been added by mistake makes the job fail for EPM. The percentage is calculated on the basis of the
bounding box of the rich black objects. Note that the job fails as soon as one single page fails the rich black test.

When the job fails this check the report will be a logfile listing all the pages that contain rich black and the percentage coverage on those pages. The list of pages that contain rich black is also added to the private data (see further).

6.1.6. Perform ink coverage check

The "Maximum ink coverage" value checks for the amounts of ink from different colors on top of each other. The reason for this check is that EPM does not use black so there is a risk that very dark areas cannot be rendered accurately. The "Ignore areas smaller than" makes the ink coverage check a bit less sensitive, so that even when there are areas that are too dark, the file will still pass the test if these areas are smaller than the value that is defined here.

When the job fails this check the report will be a PitStop Server report with a heatmap of the areas that are too dark.

6.1.7. Perform deltaE check

DeltaE is a unit of color deviation. The method used to determine the color deviation from the EPM gamut of your press is by applying a device link conversion that creates a heatmap of that deviation. In ideal circumstances the heatmap is empty.

In the two device link properties you select the respective device links that were created with the HP EPM Device Link Creator application.

There is a value to define the maximum allowed deltaE. The "Ignore areas smaller than" property works in the same way as for the maximum ink coverage check.

The most adequate value for deltaE has to be determined empirically. The procedure to do that is described further in the document.
6.1.8. Perform deltaC check

DeltaC is a unit of neutral gray deviation. The method used to determine the gray deviation from the EPM gamut of your press is by applying a device link conversion that creates a heatmap of that deviation. In ideal circumstances the heatmap is empty.

In the two device link properties you select the respective device links that were created with the HP EPM Device Link Creator application.

There is a value to define the maximum allowed deltaC. The "Ignore areas smaller than" property works in the same way as for the maximum ink coverage check. The deltaC check can be made less sensitive by setting the "Ignore black text for deltaC" property to "Yes". This ignores black text in knockout from the job before performing the deltaC check. Black text in overprint is kept as it may interfere with the background.

The most adequate value for deltaC has to be determined empirically. The procedure to do that is described further in the document.

When the job fails this check the report will be a PDF file with the heatmap of the deviation.

6.2. Outgoing connection properties

6.2.1. Report dataset name

The report that is created in the case of a failed check is attached to the job as a dataset with the name defined in this property. The report will either be a text file with the extension .log or a PDF file.

The initial report that is created by the app as a basis for some of the checks is attached as a dataset with a fixed name: com.enfocus.HP_EPM_Preflight.Report. This could be interesting for troubleshooting purposes.

6.2.2. Preflight result type

There are four possible results:
• First, the job is completely black and white which means that no other checks were performed. Note that there was also no conversion to DeviceGray if so requested! The natural route for such a job is that it is sent to a black and white input channel on the DFE so a conversion to DeviceGray is not necessary.

• Second, the job failed one of the checks. There is a Yes/No setting for each individual type of check allowing you to define what route is taken based on a certain check failing. You can add multiple output connections, each with its own settings for accepting a certain type of job, or not. You might for example wish to send jobs that failed the black pages test directly to a CMYK input channel on the DFE, but send jobs that failed the deltaE check to a checkpoint so you can look at the heatmap report to assess whether or not the job is not suited for EPM after all.

• Third, the job passed all EPM checks and is therefore suited for being sent directly to an EPM or EPM+ input channel on the DFE.

• Fourth, a file can cause a non-critical error in PitStop Server because of some file-specific problem. For example, a missing glyph in a font causes the ink coverage check to fail. In other words, it is impossible to determine whether or not the file passes that particular EPM check or not. In such cases, the file is sent along this output connection.

When there is no appropriate outgoing connection for the job, it is sent to Problem jobs.

6.2.3. Private data key

The app adds a couple of pieces of private data to each job.

<table>
<thead>
<tr>
<th>&lt;key&gt;.Status</th>
<th>This is one of the following values:</th>
</tr>
</thead>
<tbody>
<tr>
<td>BlackWhiteJob</td>
<td></td>
</tr>
<tr>
<td>FailedSpotColors</td>
<td></td>
</tr>
<tr>
<td>FailedSmallObjects</td>
<td></td>
</tr>
<tr>
<td>FailedBlackPages</td>
<td></td>
</tr>
</tbody>
</table>
6.3. Creation of device links

The deltaE and deltaC checks use ICC device links to determine if a file is within the color gamut of the EPM color space. When the ICC profiles on the Indigo DFE or SmartStream Production Pro have been customized, then also customized device links have to be created.

Start the application by double clicking the “EPMDeviceLinkCreator.exe”. There are three tabs where the ICC profiles have to be loaded before hitting the “Calculate” button on the third tab.
This is straightforward enough, but what are the correct ICC profiles and the corresponding rendering intent to be selected?

In order to determine that, switch to the HP Indigo DFE or SmartStream Production Pro application and check the settings in the Color tabs of two Ticket Templates, the one used for CMYK printing, and the one used for EPM printing.
Check the name of the RGB input color profile in the DFE, switch to the EPMDeviceLinkCreator application and select that profile there. The ICC profiles can usually be found in C:\RIP \ICCProfiles. Repeat this for the CMYK profile, and the press profile. Do this for both the CMYK and EPM tabs.
In the “Calculate” tab choose the press profile that should be used in the calculation to estimate the final color (Lab). Therefore it is important to have a good profile that characterizes the press color. In our example we use the “HPIndigoGlossExpo05.icc” that well describes the Indigo CMYK printed on a glossy substrate.
Click the "Calculate" button. This will create four device link profiles in the same folder as the executable: two are for deltaE, two for deltaC, and two are for converting from CMYK, two for converting from RGB.

Once the device links are created, copy them to the Switch environment. There are four properties in the HP EPM Preflight app where the four device links can be loaded.
7. Guidelines

7.1. Defining adequate deltaE and deltaC values

The objective of the HP Indigo EPM Preflight Solution is to make an automatic distinction between files that will render accurately using the EPM mode and files that will not.

The first step of the process is to compile a set of test files and evaluate them. There are a number of test files provided as part of the kit, but you should use a representative set of your own files. You print the test files, once without EPM and once with EPM. You then classify them visually as files that are acceptable and hence should pass the test, and files that are not acceptable and hence should fail the test. Put that list in a spreadsheet.

In the second step you run the test files through the deltaE and deltaC test flows with different values and add to the spreadsheet whether the file passed or failed the test. There is no point in testing values below 4, because those are too strict and will lead to most files being rejected.

You will now have three possible combinations:

1. The deltaE/C test results match your expectation.
2. The file fails the test, but you find it acceptable: a false negative.
3. The file passes the test, but you do not find it acceptable: a false positive.

Ideally, the results of the tests match your expectations, but it is unlikely that this will be the case: objective number crunching and subjective assessment in matters of color do not give a perfect match.

You should now choose the values that best suit your strategy: you can choose the values that yield the highest number of files that pass with the risk of false positives, or you choose a more conservative approach meaning you will have false negatives and you will not use the full potential of EPM.
7.2. Flow design

7.3. Output connections

The app should at least have 3 outgoing connections, one for each preflight result type. However, it is conceptually better to have 4 outgoing connections. It makes sense to split the failed checks in two groups: the clear-cut ones, and the ones with a risk of false negatives. The objective checks with a clear-cut result are the small objects check, the spot color check, the black pages check and the rich black check. There is no discussion possible about the result.

The ink coverage check and the two delta checks can give a result that is objectively outside of the specification, but that you would subjectively let pass anyhow, for example, because the delta deviation affects parts of an image that are not considered crucial. That is called a false negative.

From a flow design perspective it therefore makes perfect sense to route the subjective checks to a checkpoint so an operator can have a look at the original file and the report with the heatmap. He or she can then easily and quickly determine if the out-of-gamut areas are essential for a color-accurate rendering of the job or not and decide whether or not to continue with EPM or not. Looking at a file is a quicker and less costly method than making two prints in order to compare them.
7.4. Multiple instances of the app

It is quite obvious that there can be multiple instances of the app in the same flow using different values for the different properties depending on some meta property of the job: books are preflighted like this, brochures like that; jobs with a small page count are preflighted like this, jobs with a large page count like that, etc.

You should also consider, however, that it is possible to chain the different checks one after the other, rather than performing all the checks in one element. This can be useful for large jobs that take up a long processing time. In the Switch Preferences in the "Error handling" section you can define a time-out after which long processes will be aborted. As the ink coverage checks and the delta checks are very resource-intensive checks performing all those checks in one element could make the processing take longer than this limit, although each check individually would not. Performing the checks consecutively solves that. There is no point in splitting up the first five checks as the information used to evaluate them is gathered at the same time in one run of PitStop Server. When doing this you should take care not to activate checks that were already done in previous instances.

Another reason for chaining multiple instances is that you may want certain checks to be done before a certain type of processing takes place and others after it, as explained in the next chapter.

7.5. Before or after imposition?

The app will of course accept any PDF, be it the customer-supplied multipage input file, be it the result after imposition, but consider that the results of the checks can be affected by doing them before or after imposition.

The spot color check will always give the same result whether performed before or after imposition.

The small objects check will usually give the same result. An imposition program is likely to add marks that will make the job fail the small objects check, but an attempt is made to ignore those. However, there are so many different ways in which imposition programs add such lines that the detection of those lines is probably not 100% accurate across all programs. It is worthwhile checking if the imposition program gives you some control over the creation of the marks. The easiest and safest approach is for the imposition program to place those marks in the spot color All, as this color is always ignored in the EPM checks.

The black pages check is best performed after imposition. A job may have 30% of black and white pages before imposition, but become a 100% color job after imposition if all the black and white pages find themselves being combined with at least one color page on the same sheet side. Also the conversion to DeviceGray is best done on the imposed file: the imposition program may have added objects that are black but not defined in DeviceGray which would cause the EPM + mode to print the whole page/sheet in EPM instead of using just black.
The rich black check will give the same result, but the value for the coverage should be different: in absolute terms 10% of the surface of an individual page is not the same as 10% of the surface of an imposed sheet.

The ink coverage check and the delta checks will also give the same result whether performed before or after imposition: out of gamut is out of gamut.

One can conclude that all checks are best done on the input file, except for the black pages check. Note that the reason a job failed one of the checks is stored in private data, so it is always possible to use that information later on in the flow.